



01-18-01

EL234123066US

Docket No.
CAPTNE/P002A1PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

MARK ONTIVEROS and MICHAEL H. NADLER

For:

"Method and Device for Monitoring Data Traffic and Preventing Unauthorized Access to a Network"

Enclosed are:

 Certificate of Mailing with Express Mail Mailing Label No. EL234123066US 9 sheets of drawings. A certified copy of a application. Declaration Signed. Unsigned. Power of Attorney Information Disclosure Statement Preliminary Amendment Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27. Other: See additional page

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	20	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	5	- 3 =	2	x \$39.00	\$78.00
Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				
					\$0.00
					BASIC FEE \$345.00
					TOTAL FILING FEE \$423.00

 A check in the amount of to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge and credit Deposit Account No. 500287 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of \$423.00 as filing fee. Credit any overpayment. Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: January 16, 2001


Signature

CC:

JC966 U.S. PTO
09/761499
01/16/01

A

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **MARK ONTIVEROS**
MICHAEL H. NADLER

Serial No.: Not Yet Assigned
Filed: Concurrently Herewith
For: "METHOD AND DEVICE FOR MONITORING DATA TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO A NETWORK"

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL234123006US
Date of Deposit January 16, 2001

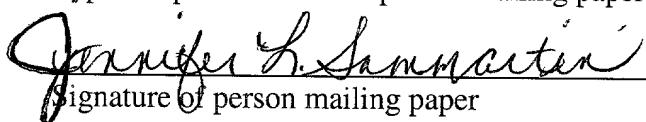
I hereby state the following papers are attached hereto:

Patent Application Transmittal Letter (Small Entity) (1 page), U.S. Patent Application entitled "METHOD AND DEVICE FOR MONITORING DATA TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO A NETWORK", comprising a specification (17 pages), claims (3 pages), Abstract (1 page), informal drawings (9 sheets), Declaration and Power of Attorney (3 pages), Recordation Form Cover Sheet (1 page), Assignment (2 pages), Statement Under 37 CFR 3.73(b) (1 page), Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page) and Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c))--Small Business Concern (1 page).

which are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer L. Sammartin

Typed or printed name of person mailing paper


Signature of person mailing paper

Docket No. CAPTNE/P002A1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MARK ONTIVEROS
MICHAEL H. NADLER

Serial No.: Not Yet Assigned
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**ADDITIONAL PAGE FOR PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)**

"Express Mail Label number EL234123066US

Date of Deposit: January 16, 2001

1. Recordation Form Cover Sheet (1 page);
2. Assignment (2 pages);
3. Statement Under 37 CFR 3.73(b) (1 page);
4. Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page); and
5. Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c)) -- Small Business Concern (1 page).